



PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/569,749

Filing Date

Feb 24, 2006

First Named Inventor

Yasuhiro Tanaka

Art Unit

2627

Examiner Name

Attorney Docket Number

075834.00552

### ENCLOSURES (Check all that apply)

- Fee Transmittal Form  
 Fee Attached  
 Amendment/Reply  
 After Final  
 Affidavits/declaration(s)  
 Extension of Time Request  
 Express Abandonment Request  
 Information Disclosure Statement  
 Certified Copy of Priority Document(s)  
 Reply to Missing Parts/ Incomplete Application  
 Reply to Missing Parts under 37 CFR 1.52 or 1.53

- Drawing(s)  
 Licensing-related Papers  
 Petition  
 Petition to Convert to a Provisional Application  
 Power of Attorney, Revocation  
 Change of Correspondence Address  
 Terminal Disclaimer  
 Request for Refund  
 CD, Number of CD(s) \_\_\_\_\_  
 Landscape Table on CD

- After Allowance Communication to TC  
 Appeal Communication to Board of Appeals and Interferences  
 Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  
 Proprietary Information  
 Status Letter  
 Other Enclosure(s) (please identify below):  
 Forms PTO/SB/08A and PTO/SB/08B, copy of cited references, and a postcard.

#### Remarks

The Commissioner is hereby authorized to charge any fees due or to credit any overpayment to Deposit Account No. 50-3891.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name Rockey, Depke, Lyons &amp; Kitzinger, LLC

Signature

Printed name Paige A. Kitzinger

Date

2/6/07

Reg. No. 45,219

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Paige A. Kitzinger

Date

2/6/07

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants:** Yasuhito Tanaka et al. **Atty. Docket No.** 075834.00552

**Serial No.:** 10/569,749 **Group Art Unit:** 2627

**Filed:** February 24, 2006 **Examiner:** Stewart JoAnn

**Invention:** HEAT TREATMENT DETERMINING METHOD  
AND HEAT TREATMENT DETERMINING  
APPARATUS OF MAGNETO-OPTIC  
INFORMATION RECORDING MEDIUM

**Customer No.:** 33448

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
Alexandria, VA 22313-1450

SIR:

In accordance with Applicant's duty of disclosure under 37 C.F.R. 1.56 and in accordance with 37 CFR §§1.97 and 1.98, Applicants and the undersigned attorney hereby submit this Information Disclosure Statement along with the accompanying forms PTO/SB/08A, and PTO/SB/08B.

In accordance with 37 C.F.R. 1.97(b)(3) this Information Disclosure Statement is submitted before the mailing of a first Office action.

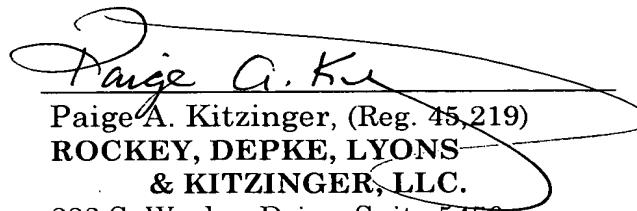
Each of the references cited in this Information Disclosure Statement were cited in the specification of the above-referenced United States patent application, serial no. 10/569,749. JP 06-290496 is not in the English language. Applicant has provided a translation of this reference which it obtained through the website of the Japanese Patent Office.

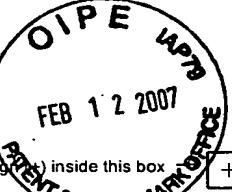
The undersigned points out that the submission of these documents is neither a concession nor admission that the documents qualify as prior art for this application under the patent laws of the United States. Applicants reserve the right to challenge the status of any such document that the office determines to be prior art.

Should the Examiner believe a fee is required, the United States Patent and Trademark Office is hereby authorized and requested to charge the fee to the deposit account of the undersigned firm, Account No. 50-3891.

Respectfully submitted,

Date: 2/6/07

  
Paige A. Kitzinger, (Reg. 45,219)  
**ROCKEY, DEPKE, LYONS  
& KITZINGER, LLC.**  
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**Attorneys for Applicants**



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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/569,749
Sheet	1	of	2	Filing Date	February 24, 2006
				First Named Inventor	Yasuhito Tanaka
				Group Art Unit	2627
				Examiner Name	Stewart JoAnn
				Attorney Docket Number	075834.00552

U.S. PATENT DOCUMENTS					
Examiner Initials'	Cite No. <sup>1</sup>	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	US1				
	US2				
	US3				
	US4				
	US5				
	US6				
	US7				
	US8				
	US9				
	US10				
	US11				
	US12				
	US13				
	US14				
	US15				
	US16				
	US17				
	US18				
	US19				
	US20				

FOREIGN PATENT DOCUMENTS					
Examiner Initials'	Cite No. <sup>1</sup>	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Office <sup>3</sup>	Number <sup>4</sup>		
	F1	JP	06-290496	Canon Inc.	10/18/1994
	F2				
	F3				
	F4				
	F5				
	F6				
	F7				
	F8				
	F9				
	F10				

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/08B (08-00)

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Substitute for form 1449B/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/569,749
				Filing Date	February 24, 2006
				First Named Inventor	Yasuhiro Tanaka
				Group Art Unit	2627
				Examiner Name	Stewart JoAnn
Sheet	2	of	2	Attorney Docket Number	075834.00552

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Signature	Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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